

# PRFSYS — Foundations of Proof Systems

Exam

Nov. 25<sup>th</sup> 2025

## 1 Trees in system $F$

We suppose we have already defined the type  $\text{nat}$  of natural numbers together with  $0$  and  $S$  and the addition function over them.

**Question 1** Define a type  $\text{Tree}$  representing binary trees with natural numbers at the nodes, and nothing at the leaves.

That is, define  $\text{Tree}$  and two terms :

—  $\text{Leaf} : \text{Tree}$

—  $\text{Node} : \text{nat} \rightarrow \text{Tree} \rightarrow \text{Tree} \rightarrow \text{Tree}$ . ◇

**Question 2** Construct a function  $\text{count} : \text{Tree} \rightarrow \text{nat}$  which counts the number of nodes of a tree (without taking the leaves into account). ◇

**Question 3** Construct function  $\text{tsum} : \text{Tree} \rightarrow \text{nat}$  which returns the sum of the values at the nodes. ◇

## 2 Impredicative Definitions of Properties

We are in HOL. We consider as given the type  $\text{nat}$  with  $0$  and  $S$ , as well as a type  $\text{list}$  and two constants  $\text{nil} : \text{list}$  and  $\text{cons} : \text{nat} \rightarrow \text{list} \rightarrow \text{list}$ .

**Question 4** Define  $\leq : \text{nat} \rightarrow \text{nat} \rightarrow o$  which states that a number is less or equal than another. ◇

**Question 5** Define  $\text{low} : \text{nat} \rightarrow \text{list} \rightarrow o$  which states that either a number is less or equal than the first element of a list, or the list is empty. ◇

**Question 6** Define  $\text{sorted} : \text{list} \rightarrow o$  which states that a list is sorted. ◇

## 3 Exercises in Type Theory

**Question 7** We are in Martin-Löf's Type Theory.

Fill in the blanks, so that the following statements ought to hold ; or say when they cannot hold.

For instance, for  $[] \vdash 0 : \square$ , you should answer  $N$  (it is not necessary to mention well-formed types convertible to  $N$ ). You may want to add some remarks or side conditions, but do not go into long explanations.

$$[] \vdash (a, b) : \square \quad (1)$$

$$\Gamma \vdash \lambda x : A. t : \square \quad (2)$$

$$[] \vdash \square : A + B \quad (3)$$

$$\Gamma \vdash (\text{refl}_A t) : \square \quad (4)$$

$$[] \vdash \square : a =_A b \quad (5)$$

$$[(x : N)] \vdash \square : N \quad (6)$$

$$[] \vdash \square : \perp \quad (7)$$

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## 4 A paradox in $\text{Type} : \text{Type}$

We consider the pure type system with a unique sort  $\text{Type}$  such that  $\text{Type} : \text{Type}$ . That is Martin-Löf's original, paradoxical type theory of 1971.

$$\begin{array}{c} \frac{}{[] \text{ wf}} \quad \frac{\Gamma \vdash T : \text{Type}}{\Gamma(x : T) \text{ wf}} \quad \frac{\Gamma \text{ wf}}{\Gamma \vdash \text{Type} : \text{Type}} \quad \frac{\Gamma \text{ wf}}{\Gamma \vdash x : T} \text{ (If } (x : T) \in \Gamma) \\[10pt] \frac{\Gamma \vdash T_1 : \text{Type} \quad \Gamma(x : T_1) \vdash T_2 : \text{Type}}{\Gamma \vdash \Pi x : T_1. T_2 : \text{Type}} \quad \frac{\Gamma(x : U) \vdash t : T}{\Gamma \vdash \lambda x : U. t : \Pi x : U. T} \\[10pt] \frac{\Gamma \vdash t : \Pi x : U. T \quad \Gamma \vdash u : U}{\Gamma \vdash (t u) : T[x \setminus u]} \quad \frac{\Gamma \vdash t : T \quad \Gamma \vdash U : \text{Type}}{\Gamma \vdash t : U} \text{ (if } T =_\beta U) \end{array}$$

**Question 8** Describe a (very) simple transformation  $f$  over terms of PTSs, such that :

- if  $\Gamma \vdash t : T$  in some PTS,
- then  $f(\Gamma) \vdash f(t) : f(T)$  in the PTS above.
- With the requirement that if  $t \triangleright_\beta t'$  then  $f(t) \triangleright_\beta f(t')$ . ◇

**Question 9** We define

$$U \equiv \Pi X : \text{Type}. X \rightarrow \text{Type}.$$

What is the type of  $U$ ? ◇

**Question 10** Given  $u : U$ , how do you, simply, turn  $u$  into a property over  $U$ , that is a term of type  $U \rightarrow \text{Type}$ ? ◇

**Question 11** Construct a relation  $\in : U \rightarrow U \rightarrow \text{Type}$ .

From now on, we write  $u \in v$  for  $(\in u v)$ . ◇

We also add to the type system the, usual, primitive equality, together with the additional axiom K which states unicity of equality proofs :

$$\begin{aligned}
= & : \Pi X : \text{Type} . X \rightarrow X \rightarrow X \rightarrow \text{Type} \quad \text{we write } t =_T u \text{ for } (= \ T \ t \ u). \\
\text{refl} & : \Pi X : \text{Type} . \Pi x : X . x =_X x \\
L & : \Pi X : \text{Type} . \Pi x : X . \Pi y : X . \Pi P : X \rightarrow \text{Type} . (P \ x) \rightarrow x =_X y \rightarrow (P \ y) \\
K & : \Pi X : \text{Type} . \Pi x : X . \Pi P : x =_X x \rightarrow \text{Type} . \Pi e : x =_X x . (P \ (\text{refl } X \ x)) \rightarrow (P \ e)
\end{aligned}$$

with the (usual) reduction rules :

$$\begin{aligned}
(L \ T \ t \ t' \ P \ p \ (\text{refl } T' \ t'')) & \triangleright p \\
(K \ T \ t \ P \ (\text{refl } T' \ t') \ p) & \triangleright p
\end{aligned}$$

Remark : you will not need the second reduction rule.

Using this equality, we will now build the construction dual to  $\in$ . That is construct an term  $\text{comp} : (U \rightarrow \text{Type}) \rightarrow U$ , such that  $u \in (\text{comp } P)$  will be equivalent to  $P \ u$ .

**Question 12** Construct

$$\text{tr} : \Pi X : \text{Type} . U =_{\text{Type}} X \rightarrow (U \rightarrow \text{Type}) \rightarrow (X \rightarrow \text{Type}).$$

What is a notable reduct of  $(\text{tr } U \ (\text{refl } U) \ P)$ ?

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We can now define

$$\text{comp} \equiv \lambda P : U \rightarrow \text{Type} . \lambda X : \text{Type} . \lambda x : X . \Pi e : U =_{\text{Type}} X . \text{tr } X \ e \ P \ x.$$

**Question 13** Give a proof  $\text{trid} : \Pi e : U =_{\text{Type}} U . \text{tr } U \ e \ P = P$ .

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**Question 14** Give a term :

$$g : \Pi P : U \rightarrow \text{Type} . \Pi u : U . P \ u \rightarrow (u \in (\text{comp } P))$$

**Question 15** Given  $u : U$ ,  $P : U \rightarrow \text{Type}$  and  $i : (In \ u \ (\text{comp } P))$ , give a term  $t : (P \ u)$ . ◇

We now give ourselves a variable  $\alpha : \text{Type}$ . We write  $\neg^\alpha T$  for  $T \rightarrow \alpha$ .

**Question 16** Define  $R$  of type  $U$  which corresponds to Russell's paradoxical set  $\{x | \neg^\alpha (x \in x)\}$ .

Show  $\neg^\alpha (R \in R)$

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**Question 17** Show  $(R \in R)$ . Deduce  $\alpha$ .

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**Question 18** Explain, from this, why the type system cannot enjoy normalization. ◇

## Fixed-Point Operator

In this last part, we want to go a little further and build a real fixed-point operator. For that, for any  $x : \mathbf{U}$  and  $P : \mathbf{U} \rightarrow \mathbf{Type}$ , we admit that we can construct two terms :

$$\begin{aligned} F_P^x & : P\ x \rightarrow x \in (\mathbf{comp}\ P) \\ G_P^x & : x \in (\mathbf{comp}\ P) \rightarrow P\ x \end{aligned}$$

with the property that  $(G_P^x (F_P^x p)) \triangleright_\beta^* p$ .

We write :

$$\begin{aligned} P & \equiv \lambda x : \mathbf{U} . \neg^\alpha(x \in x) \\ F_0 & \equiv F_P^R : \neg^\alpha(R \in R) \rightarrow R \in R \\ G_0 & \equiv G_P^R : R \in R \rightarrow \neg^\alpha(R \in R) \end{aligned}$$

**Question 19** Using  $G_0$  and  $F_0$ , construct two terms of type :

$$\begin{aligned} H_1 & : \neg^\alpha(R \in R) \\ H_2 & : R \in R \end{aligned}$$

What does  $(H_1\ H_2)$  reduce to?

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**Question 20** We give ourselves a variable  $f : \alpha \rightarrow \alpha$ . By modifying the terms of the previous question, build a term  $Y : \alpha$ , such that  $Y \triangleright_\beta^* f\ Y$ .

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**Question 21** Show that all  $\lambda$ -terms are typable in this type system.

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